

#### PATENT APPLICATION

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q86571

Tatsuki KOUWA, et al.

Appln. No.: 10/531,993

Group Art Unit: 3681

Confirmation No.: 6130

Examiner: not yet assigned

Filed: November 23, 2005

For:

SYSTEM FOR CONTROLLING A VEHICULAR GENERATOR

#### REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

ATTN: Office of Initial Patent Examination

Filing Receipt Correction

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

We enclose a copy of the Official Filing Receipt for the above-identified application and request the following corrections:

#### **Assignment for Published Patent Application**

#### MITSUBISHI DENKI KABUSHIKI KAISHA

[Control system of generator for a vehicle] SYSTEM FOR CONTROLLING A VEHICULAR **GENERATOR** 

Verification for the requested corrections is indicated on the Assignment and Declaration filed April 20, 2005.

Respectfully\_submitted,

Registration No. 29,710

Richard C. Turner

SUGHRUE MION, PLLC

Telephone: (202) 293-7060 Facsimile: (202) 293-7860

WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: September 15, 2006



United PATENT AND TRADEMARK OFFICE

> UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virgnia 22313-1450 www.uspto.gov

FILING OR 371 APPL NO. ART UNIT FIL FEE REC'D ATTY.DOCKET NO DRAWINGS **TOT CLMS** IND CLMS (c) DATE 10/531.993 11/23/2005 3681 900 Q86571 6

**CONFIRMATION NO. 6130** 

23373 SUGHRUE MION, PLLC 2100 PENNSYLVANIA AVENUE, N.W. SUITE 800 WASHINGTON, DC 20037

SEP 1 5 2006

**FILING RECEIPT** \*OC000000018247684\*

Date Mailed: 03/10/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

√ Tatsuki Kouwa, Tokyo, JAPAN;

Yoshihito Itou, Yoshihito, JAPAN; Assignment for Published Potent Application MITSUBISHI DENKI KABUSHIKI KAISHA

Power of Attorney: The patent practitioners associated with Customer Number 23373.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP03/13072 10/10/2003

Foreign Applications

√Projected Publication Date: 06/15/2006

Non-Publication Request: No

Early Publication Request: No

**Title** 

(Control system of generator for a vehicle) System for controlling a vehicular generator



Preliminary Class 477

#### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

# LICENSE FOR FORÉIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject

matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

### **ASSIGNMENT**

In consideration of the sum of One Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned,
Tatsuki KOUWA and Yoshihito ITOU
hereby sells, assigns and transfers to
MITSUBISHI DENKI KABUSHIKI KAISHA of 2-3, Marunouchi 2-chome, Chiyoda-ku, TOKYO 100-8310 JAPAN
(hereinafter called the Assignee), its successors and assigns, the entire right, title, and interest in and to:
(i) the application for United States Letters Patent entitled  SYSTEM FOR CONTROLLING A VEHICULAR GENERATOR
which was executed by the undersigned on
or was filed on October 10, 2003 and accorded Serial No.
PCT/JP2003/013072 (hereinafter called the Application);
(ii) any and all inventions and improvements which are described in the Application;
(iii) any and all United States Letters Patents which may be granted on the Application (hereinafter called Patent);
(iv) any and all divisions, continuations, substitutes, reexaminations, reissues, or
extensions
of the Application or Patent; and
(v) all benefits under the International Convention for the Protection of Industrial Property.
Said Assignee of said patent application and the attorneys appointed to prosecute said
patent application are authorized to insert in this instrument the filing date and serial number of said application.
The Commissioner of Patents and Trademarks is authorized to issue any and all of said
Letters Patent, when granted, to said Assignee.  Further, it is agreed that, when requested, without charge to but at the expense of said
Assignee, the undersigned will execute all divisional, continuing, substitute, or reissue patent
applications; execute all additional assignments and other writings, including reexamination
papers; and provide all reasonable assistance requested by the Assignee to secure and maintain
appropriate patent protection.
NVENTOR(S): DATE
( <u>Tatsuki KOUWA</u> ) Tatsuki Kouma <u>Feb. 9. 2005</u> ( <u>Yoshihito ITOU</u> ) Yoshihito ITOU February 9, 2005
(Yoshihito ITOU) Yoshihito ITOU February 9, 2005

SEP 1.5 2006 B

D	OC.	ket	No	٠.

# DECLARATIONAL POWER OF ATTORNEY FOR UTILITY OR DESIGN PATENT APPLICATION (37 C.F.R. 1.63)

# 実用・意匠特許出願宣言書および委任状 (37 C.F.R. 1.63)

## Japanese Language Declaration

oupunese Danguage Deciaration				
私は以下の通り宣言します:	I hereby declare that:			
各発明者の住所、郵送先、および国籍は下記氏名の後に 記載された通りです。	Each inventor's residence, mailing address, and citizenship are as stated below next to their name.			
下記名称の発明に関し請求範囲に記載され特許出願がされている発明内容につき、下記に記載された発明者が本来かつ最初の発明者であると信じます。	I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:			
	SYSTEM FOR CONTROLLING A VEHICULAR			
	GENERATOR			
□ 上記発明の明細書は本書に添付されます。 または □ 上記発明は米国出願番号あるいは PCT 国際出願番号 (確認番号 )として (確認番号 )として (正月 日に出願され、 (事 月 日に出願され、 (事 月 日に補正されました (該当する場合)。  私は補正が上に明示された場合は補正された特許請求範囲を含む前記明細書の内容を検討し、理解していることをここに表明します。  私は一部継続出願の場合先行出願の出願日から一部継続出願の国内あるいは PCT 国際出願日までの期間中に入手された重要な情報を含み、37 C.F.R. 1.56に定義される特許性に肝要な情報について開示義務があることを認めます。	the specification of which is attached hereto OR  was filed onOctober 10, 2003 as United States Application Number or PCT International Application Number PCT/JP2003/013072 (Confirmation No			

#### Japanese Language Declaration

私は35 U.S.C. 119(a)-(d) あるいは (f), または365(b) に基づき特許、発明者、あるいは植物育種家証書の下記 外国出願、または 365(a) に基づきアメリカ合衆国以外の 少なくとも1ヶ国を指定した下記 PCT 国際出願について の外国優先権特典をここに主張するとともに、下記項目 にx印を付けることにより優先権を主張する出願以前の 出願日を有する特許、発明者、あるいは植物育種家証書 の外国出願または PCT 国際出願を示します。

Prior Foreign Application Number(s)

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application(s) which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application(s) having a filing date before that of the application on which priority is claimed.

先行外国出願番号			<u>有</u> り	主張 ? No 無し
(Application Number) (出願番号)	(Country) (国名)	(Filing Date) (出願日)		
(Application Number) (出願番号)	(Country) (国名)	(Filing Date) (出願日)		
私は 35 U.S.C. 119(e)に 内優先権をここに主張	こ基づき下記の米国仮特許出願の国 します。 ·	I hereby claim domestic priority under 35 U. United States provisional application(s) listed	S.C. 119(e) o below.	of any
(Application Number) (出願番号)	(Filing Date) (出願日)			
(Application Number) (出願番号)	(Filing Date) (出願日)			
は 365 (c) に基づき米国益をここに主張し、本の内容が 35 U.S.C. 1より先行米国あるいはない限りにおいて 37の特許性に肝要で、外出願の国内あるいは I	C基づき下記米国特許出願、あるい 図を指定する下記 PCT 国際出願の利 特許出願内特許請求範囲の各項目 12 の最初の項に規定される方法に PCT 国際特許出願で開示されてい C.F.R. 1.56 に定義される本出願 近行特許出願の出願日から本特許 PCT 国際出願日までの期間中に入 て開示義務があることを認めま	I hereby claim benefit under 35 U.S.C. 120 of application(s) or 365(c) of any PCT internation designating the United States, listed below as subject matter of each of the claims of this disclosed in a listed prior United States or I application in the manner provided by the factorial states of the states of the patentability of the defined in 37 C.F.R. 1.56 which occurred be date of the prior application and the n international filing date of this application:	onal applicationd, insofar a application i PCT internatification i paragrapto disclose ins application the fetting of the fett	ion(s) as the is not tional oh of any on as
Prior U.S. or International 先行米国あるいは国際と	Application Number(s) 出願番号			
(Application Number) (出願番号)	(Filing Date) (出願日)	(Status: patented, pending, abandoned) (状態:特許成立済、係属中、放棄済)	<del></del>	

私は本宜言書内で私自身の知識に基づいてなされたすべ ての陳述が真実であり、情報および信ずるところに基づ いてなされたすべての陳述が真実であると信じられてい ることをここに宣言し、さらに故意になされた虚偽の陳 述等々は 18 U.S.C. 1001 に基づき罰金あるいは拘禁また は両方による処罰にあたり、またかような故意による虚 偽の陳述はそれに基づく特許出願あるいは成立特許の有 効性を危うくする可能性があることを認識した上でこれ らの陳述をなしたことを宣言します。

(Filing Date)

(出願日)

(Application Number)

(出願番号)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

(Status: patented, pending, abandoned)

(状態:特許成立済、係属中、放棄済)

#### Japanese Language Declaration

委任状:私は下記の米国特許商標局 (USPTO) 顧客番号のもとに記載される SUGHRUE MION 法律事務所のすべての弁護士を、同顧客番号のもとに記載される個々の弁護士は Sughrue Mion 法律事務所のみの自由裁量に基づき変更され得ることを認識した上で、本特許出願の手続きおよびそれに関わる米国特許商標局との業務を遂行する弁護士として指名し、本特許出願に関するすべての通信が同 USPTO 顧客番号のもとに提出された住所宛に送付されることを要請します。

POWER OF ATTORNEY: I hereby appoint all attorneys of SUGHRUE MION, PLLC who are listed under the USPTO Customer Number shown below as my attorneys to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, recognizing that the specific attorneys listed under that Customer Number may be changed from time to time at the sole discretion of Sughrue Mion, PLLC, and request that all correspondence about the application be addressed to the address filed under the same USPTO Customer Number.

## STATEMENT OF ACCURATE TRANSLATION IN ACCORDANCE WITH 37 C.F.R. §1.69(b):

The declaration and power of attorney is an accurate translation of the corresponding English language declaration and power of attorney,

Signature

Date

WASHINGTON OFFICE

23373

CUSTOMER NUMBER

電話連絡は下記へ:

SUGHRUE MION, PLLC (202) 293-7060

Direct Telephone Calls to:

SUGHRUE MION, PLLC (202) 293-7060

NAME OF SOLE OR FIRST INVENTOR: 唯一あるいは第一の発明者名 Tatsuki K	OUWA			
Given Name (first and middle [if any])	Family Name or Surname			
名 (名およびミドルネーム[該当する場合]) Tatsuki	姓 KOUWA			
Inventor's signature	Date			
発明者の署名 Tatsuko Kouwe	日付 Feb. 9 2005			
Residence:	Citizenship			
住所: Tokyo, Japan	国籍 Japan			
Mailing Address: c/o Mitsubishi Denki Kabushiki Kaisha, 2-3, Marunouchi 2-chome, Chiyoda-ku, 郵送先: TOKYO 100-8310 JAPAN				
NAME OF SECOND INVENTOR: 第二の発明者名: Yoshihito ITOU				
Given Name (first and middle [if any])	Family Name or Surname			
名 (名およびミドルネーム[該当する場合]) Yoshihito	姓 ITOU			
Inventor's signature	Date			
発明者の署名 Yo-Sんiんit。 ITOU	目付 February 9, 2005			
Residence:	Citizenship			
住所: Tokyo, Japan	国籍 Japan			
Mailing Address: c/o Mitsubishi Denki Kabushiki Kaisha, 2-3, Marunouchi 2-chome, Chiyoda-ku, 郵送先: TOKYO 100-8310 JAPAN				